### Overexpression of heat shock protein 27 (HSP27) increases gemcitabine sensitivity in pancreatic cancer cells through S-phase arrest and apoptosis

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Received: January 30, 2014; Accepted: August 25, 2014

### **Abstract**

We previously established a role for HSP27 as a predictive marker for therapeutic response towards gemcitabine in pancreatic cancer. Here, we investigate the underlying mechanisms of HSP27-mediated gemcitabine sensitivity. Utilizing a pancreatic cancer cell model with stable HSP27 overexpression, cell cycle arrest and apoptosis induction were analysed by flow cytometry, nuclear staining, immunoblotting and mitochondrial staining. Drug sensitivity studies were performed by proliferation assays. Hyperthermia was simulated using mild heat shock at 41.8°C. Upon gemcitabine treatment. HSP27-overexpressing cells displayed an early S-phase arrest subsequently followed by a strongly increased sub-G1 fraction. Apoptosis was characterized by PARP-, CASPASE 3-, CASPASE 8-, CASPASE 9- and BIM- activation along with a mitochondrial membrane potential loss. It was reversible through chemical caspase inhibition. Importantly, gemcitabine sensitivity and PARP cleavage were also elicited by heat shock-induced HSP27 overexpression, although to a smaller extent, in a panel of pancreatic cancer cell lines. Finally, HSP27overexpressing pancreatic cancer cells displayed an increased sensitivity also towards death receptor-targeting agents, suggesting another proapoptotic role of HSP27 along the extrinsic apoptosis pathway. Taken together, in contrast to the well-established anti-apoptotic properties of HSP27 in cancer, our study reveals novel pro-apoptotic functions of HSP27—mediated through both the intrinsic and the extrinsic apoptotic pathways—at least in pancreatic cancer cells. HSP27 could represent a predictive marker of therapeutic response towards specific drug classes in pancreatic cancer and provides a novel molecular rationale for current clinical trials applying the combination of gemcitabine with regional hyperthermia in pancreatic cancer patients.

**Keywords:** apoptosis ● gemcitabine ● HSP27 ● hyperthermia ● pancreatic cancer

### Introduction

Pancreatic ductal adenocarcinoma is a highly aggressive cancer and is clinically characterized by early metastatic growth, extensive drugresistance, and high rates of recurrence [1, 2]. During the past 10 years, numerous chemotherapeutic and molecularly targeted agents have been evaluated alone or in combination in clinical trials.

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Despite encouraging recent advances [3, 4], gemcitabine—introduced more than 15 years ago-remains the standard therapeutic basis in most clinical settings [5]. As a result of the common primary or acquired resistance of pancreatic cancer cells towards gemcitabine [6], predictive markers for chemotherapeutic response are urgently needed [7, 8]. Various potentially predictive biomarkers have previously been reported, including carbohydrate antigen 19-9 (CA19-9) [9, 10], human equilibrative nucleoside transporter-1 (hENT1) [11], deoxycytidine kinase [12], ribonucleotide reductase subunits (RRM1 [13] and RRM2 [14], Notch3 [15], Hu protein antigen R (HuR) [16], microRNA expression [17] or heat shock protein 27 (HSP27). Still, none of these markers has yet proven sufficiently robust to achieve clinical implementation in the gemcitabine-based treatment of pancre-

doi: 10.1111/jcmm.12444 © 2015 The Authors.

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atic cancer. Particularly, the role of HSP27 as a predictive marker for gemcitabine sensitivity remained controversial [18–20].

HSP27 possesses chaperone-like activity to prevent aggregation of misfolded proteins. It has mainly been implicated in proteasome-mediated protein degradation, cytoskeleton remodelling and the modulation of cell death pathways [21-24]. In cancer, HSP27 appears constitutively expressed at high levels in various tumour entities such as lung [25], gastric [26], prostate [27] and pancreatic cancer [28]. In some tumour entities, this HSP27 overexpression appears to be associated with prognosis [29], tumour progression [18, 30] or response to treatment [31]. Thus, HSP27 has been suggested as a diagnostic, predictive or prognostic marker in various tumour types [31, 32]. However, the specific functional roles of HSP27 on the molecular level remain insufficiently understood and seem variable depending on tumour type or entity [31, 33]. This applies particularly to the role of HSP27 as a prognostic or predictive marker in pancreatic cancer, for which on the one hand, HSP27 overexpression was associated with poor prognosis [28, 34] and chemoresistance towards gemcitabine in some studies [19, 35-37], while on the other hand, it was associated with good prognosis and increased sensitivity towards gemcitabine in others [20].

The aim of this study was to investigate the molecular mechanism underlying the previously observed HSP27-mediated hypersensitivity specifically towards gemcitabine in pancreatic cancer cells [20]. To this end, a stable HSP27 overexpression model was applied, focusing on cell cycle modulation and apoptosis induction. This was of interest particularly with regard to HSP27-dependent changes in the balance between pro- and anti-apoptotic molecules along the major apoptosis signalling pathways. In addition, the combined effects of heat shock-mediated HSP27 induction and gemcitabine treatment were assessed in a panel of pancreatic cancer cell lines. Our study identified potential clinical implications of HSP27-dependent gemcitabine sensitivity, providing further support for treatment protocols applying regional hyperthermia in combination with gemcitabine in pancreatic cancer patients.

### Materials and methods

#### Cell lines and culture

The cell line PL5 was kindly provided by S.E. Kern (Johns Hopkins University, Baltimore, MD, USA). The PL5-derived stably HSP27-transfected clones (PL5/EV, PL5/hu16, PL5/hu18) have been established and described in detail previously [20]. All other cell lines were purchased from the European Collection of Cell Cultures (Sigma-Aldrich, Munich, Germany) or the American Type Culture Collection (LGC Standards, Wesel, Germany), respectively. Early-passage primary human pancreatic cancer cell lines 518, 202, 311, 520, 455, PPC-0039 were derived and short-term propagated in our laboratory from surgical specimens of histologically confirmed pancreatic adenocarcinomas. All cell lines were grown in DMEM supplemented with 10% foetal calf serum, L-glutamine and 1% penicillin/streptomycin (PAA, Coelbe, Germany).

#### Reagents

Gemcitabine (Lilly, Bad Homburg, Germany) was dissolved at a stock concentration of 10 mM and stored at room temperature. LBY135 (Novartis, Basel, Switzerland) and tigatuzumab (CS-1008; Daiichi Sankyo, Edison, NJ, USA) at stock concentrations of 50 mg/ml and 10 mg/ml, respectively, were stored at -20 and  $4^{\circ}\text{C}$ . The pan-caspase inhibitor, Z-VAD-FMK (Promega, Mannheim, Germany) was supplied at a stock concentration of 20 mM and stored at  $-20^{\circ}\text{C}$ .

### Flow cytometry

Cell cycle distribution and subG1-cell fractions were analysed using a fluorescence-activated cell sorter (FACS) (Accuri C6 Flow Cytometer (BD Biosciences, Heidelberg, Germany) and CFlow Plus software (BD Biosciences) according to the method by Nicoletti [38]. In short, cells were seeded in 12-well plates to reach a confluence of 50–60% the next day. Gemcitabine was then added at concentrations of 0, 6.25, 15 or 25 nM. After 24 or 48 hrs, cells were collected, washed and incubated in staining buffer (0.1% sodium citrate, 0.1% Triton X-100, and 50  $\mu g/ml$  propidium iodide). Sub-G1 events and cell cycle distribution were measured using CFlow Plus software.

#### **Hoechst staining**

Cells were fixed with 4% paraformaldehyde in PBS for 20 min. followed by washing with PBS. Fixed cells were then stained using Hoechst 33342 (Sigma-Aldrich) at 2.5  $\mu$ g/ml in PBS for 30 min. and examined using a Zeiss Axiovert 135 TV fluorescence microscope (Carl Zeiss, Jena, Germany).

#### **Immunoblotting**

Cells were lysed and protein extracts boiled and loaded on 10-12% polyacrylamide gels. After electrophoresis, proteins were transferred to PVDF membranes, which were blocked for 1 hr in TBS solution containing 0.1% Tween 20 (TBS-T) and 5% milk powder. Membranes were then incubated using primary antibodies in TBS-T containing 5% milk powder or 5% BSA, respectively, at 4°C overnight. The following first antibodies were used: anti-HSP27 (SPA-803; Stressgen/ Enzo, Lörrach, Germany); anti-CASPASE 3, anti-CASPASE 8, anti-CASPASE 9, anti-PARP, anti-BCL-2, anti-BCL-xL, anti-BID, anti-BAX, anti-MCL-1 (all Cell Signaling Technology/New England Biolabs GmbH, Frankfurt am Main, Germany); anti-BAK, anti-BIM (BD Biosciences); anti-CHOP, anti-GRP78 (both Santa Cruz Biotechnology, Heidelberg, Germany). Anti-B-ACTIN antibody (Sigma-Aldrich) served as loading control. The membranes were washed and stained with either antimouse or anti-rabbit HRP-conjugated antibody (GE Healthcare, Freiburg, Germany). Enhanced chemo-luminescence was elicited using ECL Western Blotting Substrate (Thermo Scientific, Schwerte, Germany). All immunoblotting experiments were performed at least in duplicate and representative results are shown. Protein expression levels were additionally quantified by densitometry for ambiguous blots.

#### **Cell proliferation assays**

The assays were performed over a broad range of concentrations covering 100–0% cell survival. Cells/well of 600–2500 were seeded in 96-well plates to reach confluence on day 6. After settling, the cells were incubated with various drugs at the indicated concentrations. For caspase inhibition experiments, cells were pre-treated using the Z-VAD-FMK pan-caspase inhibitor [39] at 20  $\mu$ M for 3 hrs and then treated with gemcitabine. Following incubation for 6 days, the cells were washed, lysed in 100  $\mu$ l  $H_2$ O, and 0.2% SybrGreen (Invitrogen, Darmstadt, Germany) was added. Fluorescence was measured using a CytoFluor 4000 plate reader (Applied Biosystems, Darmstadt, Germany) and growth inhibition calculated as compared to the untreated control samples. At least three independent experiments were performed per agent, with each data point reflecting triplicate wells.

### Evaluation of mitochondrial membrane potential by JC-1 staining

Cells were cultured in poly-L-Lysine-coated (R&D Systems, Wiesbaden-Nordenstadt, Germany) 6-well or 96-well plates for 24 hrs and then treated with gemcitabine at 0, 25 or 50 nM for 6 or 24 hrs, respectively. Next, cells were stained with 5,5',6,6'-tetrachloro-1,1',3,3'-tetraethylbenzimidazol-carbocyaniniodide (JC-1) solution (Sigma-Aldrich) at a final concentration of 25 µg/ml for 30 min. Fluorescence was measured employing a CytoFluor 4000 plate reader at 485 nm (excitation) and at 530 and 580 nm (emission). The ratio of green and red fluorescence signals served as surrogate readout for the mitochondrial membrane potential  $(\Delta \psi_m)$  independent of the mitochondrial mass. For fluorescence imaging, cells were grown directly on slides, treated as described above and pictures taken using the Zeiss Axiovert 135 TV fluorescence microscope (Carl Zeiss). For FACS analysis, cells were harvested and analysed using the Accuri C6 Flow Cytometer® (BD Biosciences). Qualitative and quantitative data were recorded through CFlow Plus software (BD Biosciences). Valinomycin (Sigma-Aldrich) or carbonyl cyanide 3-chlorophenylhydrazone (CCCP, Sigma-Aldrich) served as negative controls. All experiments were performed at least in triplicate.

## Experimental heat shock in combination with gemcitabine treatment

After individual determination of the half maximal inhibitory concentration (IC $_{50}$ ) of gemcitabine for each cell line, the heat shock conditions were individually optimized for each cell line with regard to maximal HSP27 induction, as determined by immunoblotting, along with minimal toxicity, as determined by proliferation assays. After cell seeding and attachment, culture dishes at 60–70% confluence were wrapped with parafilm and immersed in a water bath at 41.8 or 43°C for 15, 30, 60, 90, 120 or 150 min., respectively. Cells subjected to 37°C served as controls. Constant temperature was verified through continuous monitoring. Afterwards, the cells were recovered at 37°C for 24 hrs and harvested for immunoblotting. In another set of experiments, heat shock was performed at 41.8°C for 0, 60 or 90 min., respectively, depending on the respective cell line. Afterwards, cells were incubated with gemcitabine at 0, 25, 50, 100 or 200 nM for 5 days. Cell survival rates were measured using a CytoFluor 4000 plate reader. Experiments were repeated at least in triplicate.

#### Statistical analysis

All statistical analyses were performed with IBM SPSS Statistics 21 (SPSS Inc., Chicago, IL, USA). Error bars represent standard error of the mean (SEM) from three experiments. Sensitivity studies were statistically interpreted using a paired Student's t-test. P < 0.05 were considered statistically significant.

#### Results

# Mediation of HSP27-dependent gemcitabine sensitivity through early S-phase arrest and consequent apoptosis

To investigate the mechanism underlying HSP27-mediated gemcitabine sensitivity, we applied flow cytometry to analyse cell cycle distribution and quantify the sub-G1 cell fraction as surrogate marker for apoptosis upon treatment of cells with gemcitabine, comparing parental PL5 cells to their corresponding HSP27-overexpressing counterparts (labelled PL5/hu18). As compared to parental cells, PL5/ hu18 cells displayed a strongly increased S-phase fraction (50%) 24 hrs after gemcitabine treatment at 15 or 25 nM (Fig. 1A and B). While only a slightly increased sub-G1 fraction (~15%) was detectable at the time of S-phase arrest (Fig. 1B), a strong and dose-dependent increase (~90%) was observed at 48 hrs in PL5/hu18 cells (Fig. 1C and D). Apoptosis indicated by the sub-G1 cell fraction was morphologically validated using nuclear staining. Consistently, increased chromatin condensation and nuclear fragmentation were observed (Fig. 1E). Thus, HSP27-dependent gemcitabine sensitivity was mediated first through S-phase arrest and consequently through apoptosis.

## HSP27-dependent cleavage of PARP, CASPASE 3, CASPASE 8 and CASPASE 9 upon gemcitabine

To confirm and validate HSP27-dependent induction of apoptosis upon gemcitabine treatment, we assessed Poly (ADP-ribose) polymerase (PARP) cleavage as a general apoptosis marker, followed by assessment of cleavage of initiator caspases CASPASE 8 and CASPASE 9 and of the central effector CASPASE 3. A dose- and time-dependent cleavage of PARP and all three caspases was observed virtually exclusively in the HSP27-overexpressing PL5/hu18 but not in parental control cells (Fig. 2A and B).

### Caspase inhibitor-induced abrogation of HSP27dependent gemcitabine sensitivity

To confirm caspase-dependency of HSP27-mediated gemcitabine-induced apoptosis, the caspase inhibitor Z-VAD-FMK [39] was utilized. Consistent with the observed cleavage of CASPASE 3. CASPASE

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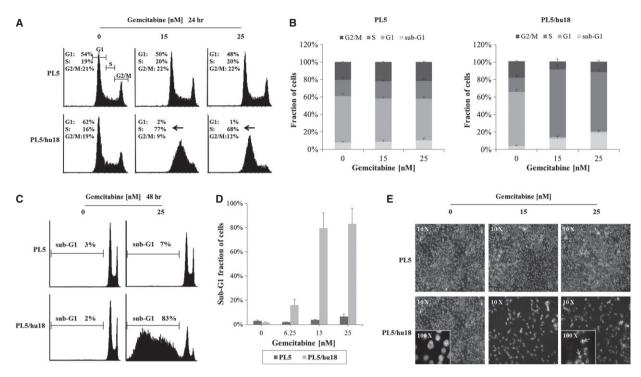


Fig. 1 HSP27 overexpression-mediated gemcitabine sensitivity through early S-phase arrest and consequent apoptosis. Flow cytometry comparing cell cycle distribution and sub-G1 cell fractions upon gemcitabine treatment at 0, 15 or 25 nM for 24 or 48 hrs between parental PL5 cells and their HSP27-overexpressing counterparts PL5/hu18: Histograms of representative cell cycle distributions (**A** and **C**) and statistical analyses from at least three independent experiments (**B** and **D**). Error bars represent SEM. (**E**) Representative microscopic pictures (magnification, ×10 and ×100) displaying typical morphological features of chromatin condensation and nuclear fragmentation upon gemcitabine at 0, 15 or 25 nM in PL5/hu18 cells (inserts and arrows).

8 and CASPASE 9 upon gemcitabine treatment, Z-VAD-FMK at 20  $\mu$ M for 3 hrs virtually completely reversed gemcitabine sensitivity specifically in HSP27-overexpressing PL5/hu18 cells at 1 or 2 nM of gemcitabine. At higher concentrations (4 nM), caspase-independent gemcitabine toxicity was additionally observed (Fig. 2C).

# HSP27-dependent activation of BIM upon gemcitabine

To evaluate which apoptotic molecules participated in caspase-dependent apoptosis, we analysed the cellular expression of major pro- and anti-apoptotic mediators along the intrinsic pathway upon gemcitabine treatment at 25 nM. All three isoforms of pro-apoptotic BIM (BIM<sub>EL</sub>, BIM<sub>L</sub> and BIM<sub>S</sub>) [40] were significantly up-regulated in a time-dependent manner specifically in HSP27-overexpressing PL5/hu18 but not in parental control cells, while no discernible expression changes were detected in any other pro-apoptotic (BID, BAX and BAK) or anti-apoptotic protein (BCL-xL, BCL-2, MCL-1) (Fig. 2D and E) tested. As BIM can be activated *via* CCAAT/enhancer-binding protein homologous protein (CHOP) in certain settings, *e.g.* during endoplasmic reticulum (ER) stress-triggered apoptosis [41], we additionally analysed the expression levels of CHOP and of the chap-

erone GRP78, which represents a central mediator of ER stress [42] upon treatment with gemcitabine. However, no significantly upregulated expression levels of either protein were detected at 6–24 hrs after treatment in parental PL5 or PL5/hu18 cells (Fig. 2F).

### HSP27-dependent mitochondrial membrane potential loss upon gemcitabine

As BIM is commonly regarded as a central player in the control of mitochondrion-mediated apoptotic processes [43], we next applied three assays using JC-1 staining [44] to analyse mitochondrial membrane depolarization upon HSP27-dependent gemcitabine-induced apoptosis. While under normal conditions, the JC-1 dye concentrates because of the electrochemical potential gradient in the mitochondrial matrix and forms red fluorescent aggregates (JC-1 aggregates), any event that dissipates the mitochondrial membrane potential leads to the prevention of JC-1 accumulation in the mitochondria and JC-1-dispersion throughout the entire cell leading to a shift from red to green fluorescence (JC-1 monomers) [45]. Upon gemcitabine at 25 or 50 nM for 24 hrs,  $\Delta \psi_m$  was quantitatively decreased in HSP27-overexpressing PL5/hu18 but not in parental control cells, as determined by JC-1 fluorescence (Fig. 3A). Consistently, fluorescence

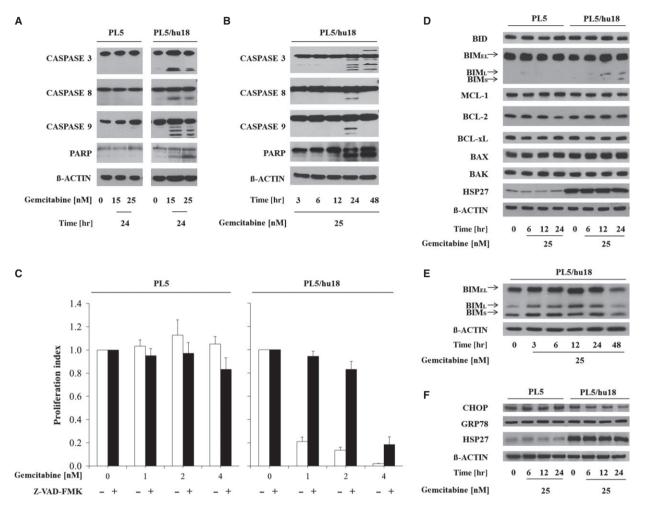


Fig. 2 Activation of PARP, caspases and other apoptosis mediators during HSP27-dependent gemcitabine-induced apoptosis. (A and B) Immunoblotting showing cleavage of PARP, CASPASE 3, CASPASE 8 and CASPASE 9 upon gemcitabine treatment in HSP27-overexpressing PL5/hu18 but not parental control cells in a dose-dependent (A) and time-dependent (B) manner. (C) Proliferation assays displaying abrogation of HSP27-dependent gemcitabine sensitivity through pre-incubation with the pan-caspase inhibitor Z-VAD-FMK at 20 μM for 3 hrs. Error bars represent SEM of at least three independent experiments. (D) Immunoblotting assessing expression changes of the indicated pro- or anti-apoptotic molecules in parental PL5 and PL5/hu18 cells upon treatment with gemcitabine at 25 nM at the indicated time-points. β-ACTIN served as loading control. (E) Immunoblotting displaying time-dependent BIM expression changes in PL5/hu18 cells treated with gemcitabine at 25 nM. (F) Immunoblotting assessing expression changes of CHOP and GRP78 in parental PL5 and PL5/hu18 cells upon treatment with gemcitabine at 25 nM at the indicated time-points. β-ACTIN served as loading control. Confirmatory HSP27 overexpression in PL5/hu18 cells is additionally depicted.

imaging displayed a strong decrease of JC-1 aggregates in PL5/hu18 cells treated with gemcitabine at 25 nM for 24 hrs (Fig. 3B). Similar data were obtained using FACS analysis, in which a clear and dose-dependent shift from JC-1 aggregates to JC-1 monomers was detected in PL5/hu18 cells 24 hrs after treatment with gemcitabine at 15 or 25 nM (Fig. 3C and D). This effect was completely blocked by valinomycin (data not shown) or CCCP (Fig. 3C), both serving as negative controls. Taken together, these data confirmed the occurrence of mitochondrion-mediated apoptosis specifically in PL5/hu18 cells upon treatment with gemcitabine.

### Heat shock-inducible sensitization of pancreatic cancer cell lines towards gemcitabine

Heat shock induces up-regulation of HSP27 expression in a wide variety of cells including pancreatic cancer cells [20, 46–49]. We therefore asked, whether heat shock-mediated HSP27 induction would be capable of increasing gemcitabine sensitivity in a similar manner as did engineered HSP27 overexpression in our model, illustrating potential clinical implications of our study [50–53]. To

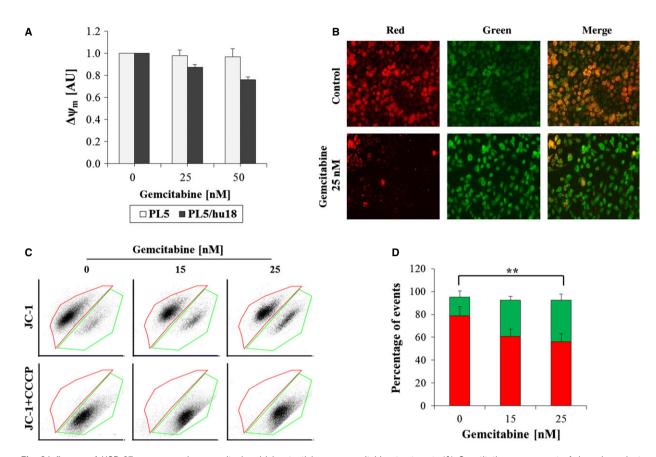


Fig. 3 Influence of HSP 27 overexpression on mitochondrial potential upon gemcitabine treatment. (A) Quantitative assessment of dose-dependent mitochondrial membrane potential  $(\Delta\psi_m)$  in PL5/hu18 as compared to parental PL5 control cells 24 hrs after gemcitabine treatment by determination of fluorescence upon JC-1 staining. Error bars represent SEM of three independent experiments. (B) Representative pictures from fluorescent imaging (magnification,  $\times$ 10) showing JC-1 aggregates (red) and JC-1 monomers (green) in PL5/hu18 cells 24 hrs after treatment with gemcitabine at 25 nM. (C) FACS analyses displaying representative dot blots of dose-dependent  $\Delta\psi_m$  loss in PL5/hu18 cells (upper panel) and control experiments using CCCP block (lower panel). (D) Statistical analyses of three independent experiments using the Student's t-test (\*\*P < 0.01) with error bars representing SEM.

test this hypothesis, a panel of established pancreatic cancer cell lines (Capan1, MIA PaCa-2, Panc1, PL5, PL11, Su86.86) as well as short-term propagated, early-passage primary human pancreatic cancer cell lines previously established by us (202, 311, 455, 518, 520, PPC-0039) was screened for heat shock-inducible HSP27 upregulation along with minimal heat shock-induced toxicity. After identification of suitable cell lines, optimized heat shock conditions with regard to temperature and duration of heat shock were defined. At conditions causing only minimal heat-induced toxicity (41.8°C for 30–120 min., depending on the respective cell line), seven out of twelve lines were HSP27-inducible, which was defined as a HSP27 protein up-regulation of at least 1.5-fold (PL5, PL11, Su86.86, 202, 311, 455, PPC-0039). Of these, four lines were picked for the subsequent experiments according to their favourable growth characteristics in cell culture (PL5, PL11, Su86.86, 202). At the previously determined individual time-points of maximal heat shock-mediated HSP27 induction (Fig. 4A), all cell lines

displayed significantly increased sensitivity towards gemcitabine (Fig. 4B). Similar results were obtained when excluding the slight detrimental effects of mild heat shock alone (i.e. when defining the surviving fraction of cells treated with heat shock but without gemcitabine as 100%; Fig. 4C). Taken together, mild heat shock enhanced the sensitivity of multiple pancreatic cancer cell lines towards gemcitabine, independent of directly heat-induced detrimental effects. Of note, because of the optimized heat shock conditions used in our experiments, we were able to selectively induce HSP27 without significant concomitant increases of other HSP protein expression levels in two of four cell lines (Fig. 4A). As confirmed by densitometry, except for a significant up-regulation of HSP70 in Su86.86 cells (approximately 2.8-fold) and more subtly also in PL11 cells (approximately 1.8-fold), each without concomitant increases of HSP90, no other significant HSP70 or HSP90 expression changes were detected in any of the tested cell

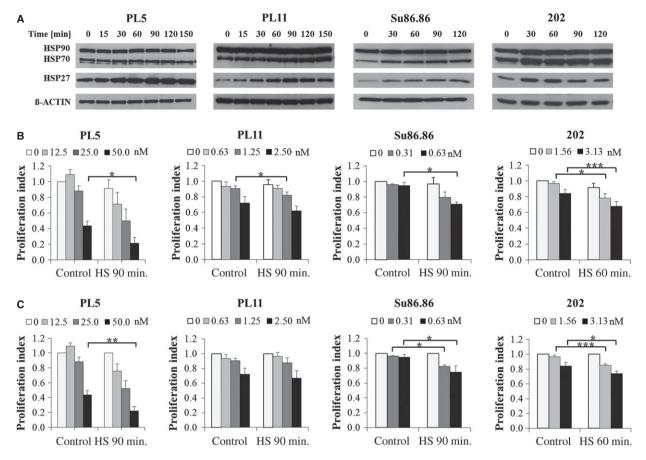


Fig. 4 Mild heat shock-mediated HSP27 induction and concomitant sensitization of pancreatic cancer cell lines towards gemcitabine. (A) Immunoblotting displaying the expression levels of HSP27, HSP70 and HSP90 in the indicated established and short-term propagated primary pancreatic cancer cell lines upon heat shock at  $41.8^{\circ}$ C for 0, 15, 30, 60, 90, 120 or 150 min., respectively.  $\beta$ -ACTIN served as loading control. (B and C) Proliferation assays comparing the sensitivity towards gemcitabine, with or without prior heat shock (HS) at  $41.8^{\circ}$ C for the indicated time-points of maximal HSP27 induction, including (B) or excluding (C) the detrimental effects of heat shock alone. Error bars represent SEM of at least three independent experiments. Asterisks mark statistical significance between two samples using the Student's t-test (\*P < 0.05 \*\*P < 0.01 \*\*\*P < 0.005).

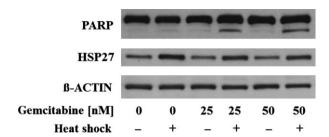
### Activation of PARP during heat shock-induced gemcitabine sensitization

To gain insight into the potential mechanisms mediating the heat shock-induced gemcitabine sensitization, we analysed PARP as a highly sensitive and early apoptosis marker [54] along with the concomitant cell cycle profiles of PL5 cells, treated with mild heat shock at 41.8°C for 90 min., followed by gemcitabine at 25 or 50 nM, respectively. At 24 and 48 hrs after gemcitabine treatment, no significant changes in cell cycle profiles were detected (data not shown). In contrast, we observed the dose-dependent cleavage of PARP in PL5 cells exclusively after the combined treatment with heat shock and gemcitabine, but not upon either treatment alone (Fig. 5), supporting the pro-apoptotic role of HSP27 during heat shock-induced gemcitabine sensitization.

### Impact of HSP27-overexpression on death receptor-targeting agents

As we unexpectedly found HSP27-dependent gemcitabine sensitivity to be partly mediated through CASPASE 8, indicating involvement of the extrinsic apoptosis pathway (Fig. 2A and B), we asked whether HSP27 overexpression would accordingly directly influence the cellular sensitivity towards drugs targeting the extrinsic death receptor (DR)-pathway. To test this, parental PL5, empty-vector transfected PL5/EV and two HSP27-overexpressing cell clones (PL5/hu16, PL5/hu18) were treated with tumour necrosis factor-related apoptosis-inducing ligand (TRAIL) receptor-targeting agents currently tested in clinical trials, specifically the anti-DR5 agonistic antibodies tigat-uzumab and LBY135. As determined by the  $IC_{50}$  ratios, two independently derived HSP27-overexpressing cell clones (PL5/hu16, PL5/

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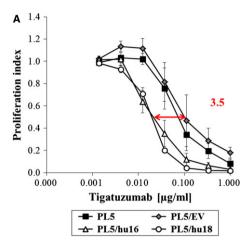
**Fig. 5** Activation of PARP during heat shock-induced gemcitabine sensitization. Immunoblotting displaying dose-dependent cleavage of PARP in PL5 cells exclusively upon combined treatment with heat shock at 41.8°C for 90 min., followed by gemcitabine at 25 or 50 nM for 24 hrs, but not upon either treatment alone. β-ACTIN served as loading control.

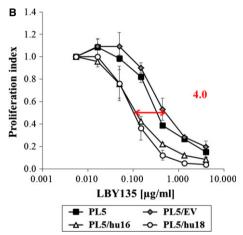
hu18) were approximately three to fourfold more sensitive towards these drugs than parental or empty-vector transfected (PL5/EV) control cells, supporting our hypothesis that overexpression of HSP27 not only modulated the cellular sensitivity towards gemcitabine but also towards agents directly targeting the extrinsic DR pathway (Fig. 6).

### **Discussion**

Having previously shown that HSP27 might represent a predictive marker for gemcitabine response in pancreatic cancer, this study served to depict the underlying mechanism of HSP27-mediated gemcitabine sensitivity in pancreatic cancer cells. Here, we demonstrate that gemcitabine treatment caused an early S-phase arrest followed by BIM-, mitochondrion- and caspase-mediated apoptosis specifically in HSP27-overexpressing pancreatic cancer cells. Furthermore, we were able to extend and generalize our data by showing that mild heat shock-mediated HSP27 induction increased the gemcitabine sensitivity in a panel of pancreatic cancer cell lines, although to a smaller extent than did genetically engineered HSP27 overexpression. Finally, our study unexpectedly revealed that HSP27 overexpression sensitized pancreatic cancer cells not only towards gemcitabine but also towards the DR5-targeting agonistic antibodies tigatuzumab and LBY135.

The predominant cell cycle effect observed in our experiments, *i.e.* the early S-phase arrest in HSP27-overexpressing pancreatic cancer cells treated with gemcitabine, is in concordance with the known pharmacological mechanism of action of gemcitabine: The nucleoside analogue belongs to the chemotherapeutic group of antimetabolites, and, after cell entry and phosphorylation, becomes incorporated into DNA. This DNA incorporation causes stalled replication forks during replication and consequently leads to an S-phase checkpoint activation followed by an S-phase arrest [55]. In our experiments, this S-phase arrest was subsequently followed by caspase-mediated apoptosis, as illustrated by the activation of the initiator caspases CASPASE 8 and CASPASE 9 and the executioner CASPASE 3 [56] and confirmed by the virtually complete abrogation of these effects by a





**Fig. 6** Influence of HSP27 overexpression on the cellular sensitivity towards DR-targeting agents. (**A** and **B**) Proliferation assays comparing the sensitivity of parental PL5 and empty vector-transfected (PL5/EV) control cells *versus* two independently derived HSP27-overexpressing cell clones (PL5/hu16, PL5/hu18) towards DR5-agonistic antibodies tigatuzumab (**A**) or LBY135 (**B**), respectively at the indicated concentrations. Error bars represent SEM of at least three independent experiments.

broad caspase inhibitor [39]. Thus, the observed gemcitabine-induced pro-apoptotic effects of HSP27 overexpression in pancreatic cancer cells were strictly caspase-dependent in our experiments, whereas the anti-apoptotic properties of HSP27 in other cancers have been reported to be mediated partly by caspase-dependent and partly by caspase-independent mechanisms [57].

HSP27-dependent gemcitabine-induced apoptosis was accompanied by activation of BIM, a pro-apoptotic protein belonging to the BH3-only group of Bcl-2 family members [58], which has just recently been linked to gemcitabine sensitivity in pancreatic cancer [59]. Despite BIM being activated *via* CHOP-mediated direct transcriptional induction in certain settings, *e.g.* during ER stress-induced apoptosis [41, 60], neither CHOP nor GRP78 [42] expression

levels were significantly up-regulated upon treatment with gemcitabine in our model, indicating that both CHOP and ER stress did not play a major role in the facilitation of HSP27-dependent gemcitabine sensitization in our experimental setting. In contrast, the fact that BIM is tightly involved in mitochondrion-mediated apoptosis [43] was mechanistically substantiated by our data on gemcitabineinduced mitochondrial membrane potential loss in HSP27-overexpressing pancreatic cancer cells. Cytoskeleton remodelling represents one of the major functions of HSP27 besides the regulation of apoptosis [21, 24]. Thus, it is tempting to speculate that HSP27induced cytoskeleton dissociation of BIM and its consecutive mitochondrial translocation—the previously described process through which BIM exerts its pro-apoptotic effects [43, 61]—constitutes the initiating event of HSP27-dependent gemcitabine-induced apoptosis. These pro-apoptotic effects of HSP27 overexpression probably counteract and potentially outweigh any anti-apoptotic properties of HSP27 under certain circumstances or in certain subsets of tumours. This supports our previously established hypothesis of an important pro-apoptotic role of HSP27 in pancreatic cancer [20], which appears mechanistically clearly distinguishable from the wellestablished anti-apoptotic properties of HSP27 in a variety of other cancers [18, 23].

Experimental heat shock induces HSP27 expression in a wide variety of cell types including pancreatic cancer [20, 46-49]. Therefore, it appeared mandatory to test whether heat shock-mediated HSP27 induction would be capable of increasing gemcitabine sensitivity in a similar manner as did engineered HSP27 overexpression in pancreatic cancer cells. In fact, we were able to demonstrate that mild experimental heat shock enhanced the sensitivity towards gemcitabine in the majority of cell lines from a panel of established as well as short-term propagated primary pancreatic cancer cell lines, although to a smaller extent than did forced HSP27 overexpression. The effects of combined treatment with heat shock and gemcitabine were accompanied by increased PARP cleavage, indicating that gemcitabine sensitization was attributable at least in part to PARP-mediated apoptosis. Importantly, individual pre-optimization of each cell line facilitated the definition of particularly mild heat shock conditions causing only minimal cellular toxicity, which helped to discriminate the heat shock-induced increase in cell death upon gemcitabine from potential directly heat-induced detrimental effects.

Our data underscore the concept of clinical trials applying treatment protocols including regional hyperthermia in combination with gemcitabine for pancreatic cancer patients, providing a novel molecular mechanism for the clinically established value of this combination [50–53], *i.e.* hyperthermia-mediated HSP27 induction and consecutive increased cellular sensitivity towards gemcitabine. Of note, while many studies concentrate on the role of other HSPs, particularly of HSP70, as critical mediators of the molecular effects observed upon hyperthermia [62], the effects described in our study were likely elicited in a HSP70- and HSP90-independent manner, as can be derived from the optimized heat shock conditions, facilitating HSP27 induction without significant increases of HSP70 in two out of four cell lines and without significant increases of HSP90 in any of the assessed lines. Nevertheless, to definitely dissect the exact role of

HSP27 in the process of heat shock-mediated gemcitabine sensitization, the specific downregulation of HSP27, *e.g.* through RNA-interference, will be required. As our own preliminary experiments already revealed that transient siRNA-mediated HSP27 knockdown is insufficient to effectively prevent the acute HSP27 up-regulation upon heat shock (data not shown), future studies will need to apply a stable HSP27 knockdown model using shRNA to definitely address this point.

Unexpectedly, we found that gemcitabine-induced HSP27dependent apoptosis was mediated partly through CASPASE 8, representing the initiator caspase upon extrinsic DR stimulation [63]. This indicated a potential cross-talk between the extrinsic and intrinsic apoptosis pathways. A highly similar extrinsic/intrinsic cross-talk, functionally linking BIM- and mitochondrion-dependent intrinsic apoptotic signalling (both observed in our experiments) to the extrinsic DR cascade, has previously been reported in a different experimental setting [64]. Consequently we asked, whether HSP27 overexpression influenced sensitivity not only towards gemcitabine but additionally towards drugs directly targeting the extrinsic DR pathway. In fact, we found that HSP27-overexpressing cells displayed an increased sensitivity towards the DR5-agonistic antibodies tigatuzumab and LBY135, thus potentially identifying a new predictive marker of therapeutic response towards this drug class in pancreatic cancer. In this context, it will be important to test in future studies, whether heat shock-mediated HSP27 induction increased sensitivity not only towards gemcitabine, as shown here but also similarly also towards DR-targeting drugs in pancreatic cancer. Recent studies already support this hypothesis, reporting hyperthermia to enhance apoptosis induced by TRAIL or the DR4targeting mapatumumab in colon cancer cells in vitro and in vivo [65, 66].

In summary, we identified and mechanistically characterized a novel link between HSP27 expression and gemcitabine sensitivity in pancreatic cancer cells. In contrast to the well-established anti-apoptotic roles of HSP27, our study revealed clearly distinguishable proapoptotic functions of HSP27 in certain subsets of cancer cells. This could have direct clinical implications: First, HSP27 might serve as a predictive marker of therapeutic response towards gemcitabine or DR-targeting drugs in pancreatic cancer. Second, our data further substantiate the molecular basis for clinical trials applying combinations of gemcitabine and regional hyperthermia for the treatment of pancreatic cancer [50–53].

### **Acknowledgements**

The authors thank R. Wimmer for excellent technical assistance and Dominik Bader for his critical review of the manuscript. This study was funded by grants to EG (DFG Ga762/3-1 and 762/3-2, Förderprogramm für Forschung und Lehre, MMW-Fund) and YG (China Scholarship Council/CSC).

### **Conflicts of interest**

The authors declare no conflicts of interest.

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### **Author contribution**

YG: Collection and assembly of data, data analysis and interpretation, manuscript drafting, writing of the final manuscript, final approval of the manuscript; AZ: Collection and assembly of data, data analysis and interpretation, final approval of the manuscript; SH: Collection and assembly of data, data analysis and interpretation, final approval of the manuscript; SO: Collection and assembly of data, data analysis and interpretation, final approval of the manuscript; ENT: Collection and assembly of data, data analysis and interpretation, final approval of the manuscript; BG: Conception and design, financial support, data analysis and interpretation, final

approval of the manuscript; EG: Conception and design, financial support, collection and assembly of data, data analysis and interpretation, writing of the final manuscript, final approval of the manuscript.

### **Supporting information**

Additional Supporting Information may be found in the online version of this article:

**Data \$1** Response to the reviewers.

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